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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Tradomark Office; U.S. DEPARTMENT OF COMMERCE

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Attorney Docket No.: 20162-000210US

Declaration (37 CFR 1.63) for utility or design application using an application data sheet (37 CFR 1.76)

As the below named inventor(s), I/we declare that: This declaration is directed to: The attached application, or \boxtimes Application No. 09/774,751, filed on January 30, 2001, as amended on ____ (if applicable); I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought; I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above; I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon. FULL NAME OF INVENTOR(S) inventor one: Patrick Day June 10, 2001 Citizen of: Signature: **United States** inventor two: William Stevens Taber, Jr. Signature: Citizen of: United States inventor three: Mattison Signature: 10-14-01 Citizen of: _ United States inventor four Signature: Citizen of: Additional inventors are being named on additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The Information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Patents, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for SF 1181150 v1

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT First Named Inventor Group Art Unit Examiner Name Attorney Docket Number Not Yet Assigned Examiner Name Attorney Docket Number Place Customer Number Bar Code Label here Name Registration Number Registration Number	OR Practitioner(s) named below: Name	OELLA CONTROL DE	moer.		Application	on Nu	mber	09/774	,751	
OR Practitioner(s) named below: Name	OR Practitioner(s) named below: Name							Januar	y 30, 2001	
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OR Practitioner(s) named below: Name	OR Practitioner(s) named below: Name	AUTHORI	ZATION OF AGE	NT				Not Ye	t Assigned	
OR Practitioner(s) named below: Name	OR Practitioner(s) named below: Name							Not Ye	t Assigned	
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Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1181326 v1

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forms are submitted.

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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE sons are required to respond to a collection of information unless it displays a valid OMB control number.

, or for which a copy thereof is

Attorney Docket No.: 20162-000210US

STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: V-GEN LLC

Filed/Issue Date: January 30, 2001 Application No./Patent No.: 09/774,751

A. X An assignment from the inventor(s) of the patent application/patent identified above. The assignment was

. Frame

Entitled: AUTOMATED AGGREGATION AND MANAGEMENT OF DISTRIBUTED ELECTRIC LOAD REDUCTION

a California corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) (Name of Assignee)

states that it is:

1.	\boxtimes	the assignee of the entire right, title, and interest; or
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2.	Π an	assignee	of an	undivided	part interest

in the patent application/patent identified above by virtue of either:

	recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy ther attached.	eof is
OR	₹	
В. 🗆	A chain of title from the inventor(s), of the patent application/patent identified above, to the current shown below:	assignee as
	1. From: To :	
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	Reel, Frame, or for which a copy thereof is attached.	

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3. From:	То :	
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Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

__, or for which a copy thereof is attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

8 fm 81	Of h	•
Date) JAGINIO	_

Signature William Stevens Taber, Jr. Typed or printed name

Chairman Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: 20162-000210US

Client Reference No.: 2000/2-1

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Patrick Davis, of 17909 Worley Drive, Pflugerville, TX 78660; William Stevens Taber, Jr., of 860 Butterfield Lane, San Anselmo, CA 94960; Greg S. Mattison, of 1109 Dalea Bluff, Round Rock, TX 78664; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

AUTOMATED AGGREGATION AND

MANAGEMENT OF DISTRIBUTED ELECTRIC

LOAD REDUCTION

Date(s) of execution of Declaration:

Filing Date:

January 30, 2001

Application No.:

09/774,751; and

WHEREAS, V-GEN LLC, a corporation of California, located at 860 Butterfield Lane, San Anselmo, CA, 94960, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

			Attorney Docket No.: Client Refere	20162-000210US ence No.: 2000/2-1
IN TESTIMO	NY WHEREOF,	Assignors have signe	d their names on the	dates indicated.
Dated: (g-14-0)	- .	Patric	k Davis	
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STATE OF CALIFORNIA)) ss.			
COUNTY OF)			
personally appeared <u>Patrick Di</u> evidence) to be the person who that he/she executed the sam instrument the person, or the er	avis, personally ka ose name is subso the in his/her authority upon behalf of	ribed to the within in incrized capacity, an	red to me on the basinstrument, and acknud that by his/her s	owledged to me ignature on the strument.
WITNESS my hand and officia	al seal.			}
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My Commission Expires:	by i, 2002			
Dated: <u>6-8-01</u>	- (William Stev	ens Taber, Jr.	
STATE OF CALIFORNIA COUNTY OF Marin) ss.			
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on 6-8- personally appeared William S satisfactory evidence) to be acknowledged to me that he/s signature on the instrument the instrument.	tevens Taber, Jr., the person whose he executed the s	personally known to be name is subscrib name in his/her auth	ped to the within in orized capacity, and	on the basis of instrument, and that by his/her
WITNESS my hand and officia	al seal.	PEST	AVAILABLE	COPY
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My Commission Expires: [] -	3003	O TO	DAWN McCL COMM. # 12439 NOTARY PUBLIC-CALIF MARIN COUNT COMM. EXP. NOV. 30	JE X 93 93 ORNIA () 0, 2003

Attorney Docket No.: 020162-000210US Page 3	5 / - 4 5 / 5
Dated: 6-14-81 Moldon Greg S. Mattison	
STATE OF CALIFORNIA)	
COUNTY OF) ss.	·
on 6-14-01, before me, <u>Grea Mattison</u> personally appeared <u>Greg S. Mattison</u> , personally known to me (or proved to me satisfactory evidence) to be the person whose name is subscribed to the within acknowledged to me that he/she executed the same in his/her authorized capacity, and signature on the instrument the person, or the entity upon behalf of which the person act instrument.	on the basis of instrument, and I that by his/her
WITNESS my hand and official seal.	
MICHELE KING	

My Commission Expires: May 1.2002

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